



# Benefit Administration | SAS 70 Type II Certified

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Large U.S. and global employers appreciate Balsler's robust, fully redundant dual data center and hardware configuration supporting a state-of-the-art, SAS 70 Type II-certified discrete tax year recordkeeping system, and web interface, operated by a team of "best in class" seasoned professionals who understand security and privacy.

Forward-thinking, collaborative, and reliable underscore our experience with large corporations and the nonqualified plan services we consistently provide. Large employer expectations have driven our innovation.

Clients tell us that our implementation and service teams are the best qualified and most seasoned in the business. Given larger employers often have multiple plans, grandfathered rules, special awards, tiered vesting schedules, special investment options, key officer distribution requirements, and more—we use the open architecture of our recordkeeping system and our experienced teams to provide the best solutions. Our system's superior flexibility offers clients the ability to apply distinct rules to different years without the limitations of other RKS that must administer different years separately.

Balsler Companies system architecture, design, processes and procedures ensure that all clients' data is separate and safe from unauthorized access throughout the processing cycle. Balsler employs a dual data center strategy. Our "hot backup" data center is located at our principal offices in Atlanta, Georgia. Applications and data are synchronized nightly between the sites. We own, host and manage all aspects of the "hot backup" data center network. Our primary data center is located on a completely separate power grid on a different side of Atlanta at a fully secure and managed Tier One Internet Data Center operation, including redundant data centers around the country. Like any top administrator, Balsler engages an outside auditor to conduct its Type II SAS 70 certification.

Balsler Companies uses a fully integrated set of proprietary web based application tools with the back-office Schwab Retirement Technologies (Schwab RT) to service fully customizable non-qualified plans, based on our clients' unique needs and objectives. Our proprietary web based applications allow us to service the unique aspects of each plan coupled with Schwab RT's daily net asset valuation system which provides industrial strength, servicing hundreds of thousands of participant accounts, and state of the art administration for participant accounts. Balsler Companies currently employs standard x86 hardware architecture from HP and Sun Microsystems. Balsler Companies employs a multi-platform approach to operating systems to minimize risk. The Schwab valuation system is run on Novell OES. Our proprietary web applications are currently operating on Windows 2000 server and SQL Server 2000, and will be migrated to a fully web-based application on an Apache/Java/Oracle solution running on SuSe Enterprise Servers.

